



APPENDIX-D

FORM NO. MGT-9

Extract of Annual Return

as on the Financial Year ended on March 31, 2021

[Pursuant to Section 92(3) of the Companies Act, 2013 and rule 12(2) of the Companies (Management and Administration) Rules, 2013]

I. REGISTRATION AND OTHER DETAILS

i. CIN	U74899DL2001NPL110356
ii. Registration Date	10.04.2001
iii. Name of the Company	National Scheduled Tribes Finance and Development Corporation (NSTFDC)
iv. Category/ Sub-Category of the Company	Company Limited by Shares  Company license under Section 25 of the Companies Act, 1956 (now covered under Section 8 of the Companies Act, 2013)
v. Address of the Registered Office of the Company	NBCC Tower Plot No 15, Bhikaji Cama Place, New Delhi – 110066
vi. Whether listed Company	No
vii. Name, Address and Contact details of Registrar and Transfer Agent, if any;	Not-Applicable

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

S. No.	Name and Description of main products/ services	NIC Code of the Product/ Service	% to total turnover of the Company
1.	Extending concessional financial assistance to Scheduled Tribes	649	100%
2.	—	—	—
3.	—	—	—

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES

S. No.	Name and Address of the Company	CIN/ GLN	Holding/ Subsidiary/ Associate	% of shares held	Applicable Section
1.	—	—	—	—	—
2.	—	—	—	—	—

NSTFDC is not having any Holding, Subsidiary or Fellow Subsidiary or Associate Company.

#### IV. SHARE HOLDING PATTERN (EQUITY SHARE CAPITAL BREAKUP AS PERCENTAGE OF TOTAL EQUITY)

##### (i) Category-wise Share Holding

Category of Shareholders	No. of Shares held at the beginning of the year				No. of Shares held at the end of the year				% of change during the year
	Demat	Physical	Total	%of Total Shares	Demat	Physical	Total	%of Total Shares	
<b>A. Promoters</b>									
<b>(1) Indian</b>									
(a) Individual/ HUF	—	—	—	—	—	—	—	—	—
(b) Central Govt.	—	74,99,999	74,99,999	100%	—	74,99,999	74,99,999	100%	—
(c) State Govt.(s)	—	—	—	—	—	—	—	—	—
(d) Bodies Corp.	—	—	—	—	—	—	—	—	—
(e) Banks/FI	—	—	—	—	—	—	—	—	—
(f) Any other...	—	—	—	—	—	—	—	—	—
(One share is in the name of Shri Mukesh Kumar, Deputy Secretary, Ministry of Tribal Affairs	—	1	1	—	—	1	1	—	—
<b>Sub-total (A)(1):</b>	—	<b>75,00,000</b>	<b>75,00,000</b>	<b>100%</b>	—	<b>75,00,000</b>	<b>75,00,000</b>	<b>100%</b>	—
<b>2. Foreign</b>									
(a) NRIs Individual	—	—	—	—	—	—	—	—	—
(b) Other Individuals	—	—	—	—	—	—	—	—	—
(c) Bodies Corp.	—	—	—	—	—	—	—	—	—
(d) Banks/ FI	—	—	—	—	—	—	—	—	—
(e) Any Other...	—	—	—	—	—	—	—	—	—
<b>Sub-total (A)(2):</b>	—	—	—	—	—	—	—	—	—
<b>Total Share holding of Promoter (A)= (A)(1)+(A)(2)</b>	—	<b>75,00,000</b>	<b>75,00,000</b>	<b>100%</b>	—	<b>75,00,000</b>	<b>75,00,000</b>	<b>100%</b>	—
<b>B. Public Shareholding</b>									
<b>1. Institutions</b>									
(a) Mutual Funds	—	—	—	—	—	—	—	—	—
(b) Banks/FI	—	—	—	—	—	—	—	—	—
(c) Central Govt.	—	—	—	—	—	—	—	—	—
(d) State Govt.(s)	—	—	—	—	—	—	—	—	—
(e) Venture Capital Funds	—	—	—	—	—	—	—	—	—
(f) Insurance Companies	—	—	—	—	—	—	—	—	—
(g) FIs	—	—	—	—	—	—	—	—	—
(h) Foreign Venture Capital Funds	—	—	—	—	—	—	—	—	—

Category of Shareholders	No. of Shares held at the beginning of the year				No. of Shares held at the end of the year				% of change during the year
	Demat	Physical	Total	% of Total Shares	Demat	Physical	Total	% of Total Shares	
(i) Others (specify)	—	—	—	—	—	—	—	—	—
<b>Sub-total (B)(1):</b>									
<b>2. Non-Institutions</b>									
(a) Bodies Corp.	—	—	—	—	—	—	—	—	—
i) Indian	—	—	—	—	—	—	—	—	—
ii) Overseas	—	—	—	—	—	—	—	—	—
(b) Individuals	—	—	—	—	—	—	—	—	—
i) Individual shareholders holding nominal share capital up to ₹ 1 lakh	—	—	—	—	—	—	—	—	—
ii) Individual Shareholders holding nominal share capital in excess of ₹1 lakh	—	—	—	—	—	—	—	—	—
(c) Others (specify)	—	—	—	—	—	—	—	—	—
<b>Sub-total (B)(2):</b>	—	—	—	—	—	—	—	—	—
<b>Total Public Shareholding (B)=(B)(1)+(B)(2)</b>	—	—	—	—	—	—	—	—	—
C. Shares held by Custodian for GDRs & ADRs	—	—	—	—	—	—	—	—	—
<b>Grand Total (A+B+C)</b>		75,00,000	75,00,000	100%	—	75,00,000	75,00,000	100%	—

**(ii) Shareholding of Promoters**

S. No.	Shareholder's Name	Shareholding at the beginning of the year			Shareholding at the end of the year			
		No. of Shares	% of total Shares of the Company	% of Shares Pledged/encumbered to total shares	No. of Shares	% of total Shares of the Company	% of Shares Pledged/encumbered to total shares	% of change in shareholding during the year
1.	President of India	74,99,999	100%	—	74,99,999	100%	—	—%
2.	Shri Mukesh Kumar, Dy. Secretary, Ministry of Tribal Affairs	1	—	—	1	—	—	—

**(iii) Change in Promoters' Shareholding (please specify, if there is no change)**

S. No.	Particulars	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of Shares	% of total shares of the Company	No. of Shares	% of total shares of the Company
1.	At the beginning of the year	75,00,000	100%	75,00,000	100%
2.	Allotment made	Nil	NA	Nil	NA
3.	At the End of the year	75,00,000	100%	75,00,000	100%

**(iv) Shareholding Pattern of top ten Shareholders (other than Directors, Promoters and Holders of GDRs and ADRs):**

S. No.	For Each of the Top 10 Shareholders	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of Shares	% of total shares of the Company	No. of Shares	% of total shares of the Company
1.	At the beginning of the year	—	—	—	—
2.	Date wise Increase/ Decrease in Promoters Shareholding during the year, specifying the reasons for increase/ decrease (e.g. allotment/ transfer/ bonus/ sweat equity etc.)	—	—	—	—
3.	At the end of the year (or on the date of separation, if separated during the year)	—	—	—	—

## V. INDEBTEDNESS

Indebtedness of the Company including interest outstanding/ accrued but not due for payment

Indebtedness at the beginning of the financial year	Secured Loans excluding deposits	Unsecured Loans	Deposit	Total Indebtedness
(i) Principal Amount	—	—	—	—
(ii) Interest due but not paid	—	—	—	—
(iii) Interest accrued but not due	—	—	—	—
<b>Total (i + ii + iii)</b>	<b>—</b>	<b>—</b>	<b>—</b>	<b>—</b>
Change in Indebtedness during the financial year				
• Addition	—	—	—	—
• Reduction	—	—	—	—
<b>Net Change</b>	<b>—</b>	<b>—</b>	<b>—</b>	<b>—</b>
<b>Indebtedness at the end of the financial year</b>				
(i) Principal Amount	—	—	—	—
(ii) Interest due but not paid	—	—	—	—
(iii) Interest accrued but not due	—	—	—	—
<b>Total (i + ii + iii)</b>	<b>—</b>	<b>—</b>	<b>—</b>	<b>—</b>

## VI. REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

### A. Remuneration to Managing Director, Whole Time Directors and/ or Manager:

S. No.	Particulars of Remuneration	CMD	Whole Time Director	Manager	Total Amount
1.	Gross Salary				
	(a) Salary as per provisions contained in Section 17(1) of the Income Tax Act, 1961;	35,99,989.00	—	—	35,99,989.00
	(b) Value of perquisites u/s 17(2) of the Income Tax Act, 1961	44,082.00	—	—	44,082.00
	(c) Profits in lieu of salary under Section 17(3) of the Income Tax Act, 1961	—	—	—	—
2.	Stock Option	—	—	—	—
3.	Sweat Equity	—	—	—	—
4.	Commission - as % of profit - others, specify...	— —	— —	— —	— —
5.	Others, specify	—	—	—	—
	<b>Total (A)</b>	<b>36,44,071.00</b>	<b>—</b>	<b>—</b>	<b>36,44,071.00</b>
	Ceiling as per the Act				

**B. Remuneration to other Directors:**

S. No.	Particulars of Remuneration	CMD	Whole Time Directors	Non-Official Directors	Total Amount
1.	Independent Directors				
	• Fee for attending Board/ Committee meetings	—	—	36,000.00	36,000.00
	• Commission	—	—	—	—
	• Others, specify	—	—	—	—
	<b>Total (1)</b>	—	—	<b>36,000.00</b>	<b>36,000.00</b>
2.	Other Non-Executive Directors				
	• Fee for attending Board/ Committee meetings	—	—	—	—
	• Commission	—	—	—	—
	• Others, specify	—	—	—	—
	<b>Total (2)</b>	—	—	—	—
	<b>Total (B) = (1+2)</b>	—	—	<b>36,000.00</b>	<b>36,000.00</b>
	<b>Total Managerial Remuneration [(A) + (B)]</b>	<b>36,44,071.00</b>	—	<b>36,000.00</b>	<b>36,80,071.00</b>
	<b>Overall Ceiling as per Act</b>	—	—	—	—

**C. Remuneration to Key Managerial Personnel other than MD/Manager/WTD:**

S. No.	Particulars of Remuneration	Key Managerial Personnel		
		CMD	Company Secretary	Total
1.	Gross Salary			
	(a) Salary as per provisions contained in Section 17(1) of the Income Tax Act, 1961;	—	18,23,444.00	18,23,444.00
	(b) Value of perquisites u/s 17(2) of the Income Tax Act, 1961	—	—	—
	(c) Profits in lieu of salary under Section 17(3) of the Income Tax Act, 1961	—	—	—
2.	Stock Option	—	—	—
3.	Sweat Equity	—	—	—
4.	Commission			
	- as % of profit	—	—	—
	- Others, specify...	—	—	—
5.	Others, specify	—	—	—
	<b>Total</b>	—	<b>18,23,444.00</b>	<b>18,23,444.00</b>

**VII. PENALTIES/ PUNISHMENT/ COMPOUNDING OF OFFENCES:**

Type	Section of the Companies Act	Brief Description	Details of Penalty/ punishment/ com-pounding fees imposed	Authority [RD/ NCLT/ COURT]	Appeal made, if any (give details)
<b>A. COMPANY</b>					
Penalty	—	—	—	—	—
Punishment	—	—	—	—	—
Compounding	—	—	—	—	—
<b>B. DIRECTORS</b>					
Penalty	—	—	—	—	—
Punishment	—	—	—	—	—
Compounding	—	—	—	—	—
<b>C. OTHER OFFICERS IN DEFAULT</b>					
Penalty	—	—	—	—	—
Punishment	—	—	—	—	—
Compounding	—	—	—	—	—